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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Rodriguez et al.**

Serial No.: **09/329,461**

Filed: **June 10, 1999**

For: **Method and Apparatus for  
Encoding Transactions for Goods and  
Services Using an E-Receipt**

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Group Art Unit: **3625**

Examiner: **Nguyen, Cuong H.**

Attorney Docket No.: **AT9-99-085**

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**TRANSMITTAL DOCUMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**35525**  
PATENT TRADEMARK OFFICE  
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Sir:

ENCLOSED HEREWITH:

- Copy of International Search Report;
- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AB; and
- Our return postcard.

A fee of \$180.00 is believed to be necessary. I authorize the Commissioner to charge these fees required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 50-3157.

Respectfully submitted,

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## \*\*\* Information Materials for IDS \*\*\*

To AUS IP Law Docket Number AUS9-1999-0085Date August 17, 2004Prepared by Hiroaki Mikami Date of JPO Office Action August 3, 2004**Applied Art** (The following reference(s) were cited by JPO Examiner as Prior art to the following JP claims)

Ref.	Patent Document No. or Title	Publication Date (MM/DD/YY)	English abs. or counterpart document available (Y/N)	JP claim(s)
A	PUPA 08-202774	08/09/96	Y	1-25
B	PUPA 10-162066	06/19/98	Y	1-25
C				
D				
E				
F				

## &lt;NOTE&gt;

◇ PUPA : Published Unexamined Patent Application

◇ PEPA : Published Examined Patent Application

to be continued ☐

◇ PUUMA : Published Unexamined Utility Model Application

◇ PEUMA : Published Examined Utility Model Application

◇ JP : Japanese Patent

◇ \* : Reference being filed before and published after the priority application date of the subject docket

**Background Art** (The following reference(s) were cited but not applied to the JP claims.)

Ref.	Patent Document No. or Title	Ref.	Patent Document No. or Title
bgA		bgD	
bgB		bgE	
bgC		bgF	

Comment, if any :

to be continued ☐



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

**Rodriguez et al.**

Serial No: **09/329,461**

Filed: **June 10, 1999**

Title: **Method and Apparatus for Encoding Transactions for Goods and Services Using an E-Receipt**

Group Art Unit: **3661**

Intellectual Property  
Law Department  
International Business  
Machines Corporation  
11400 Burnet Road  
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

12/05/2005 RFEKADU1 00000049 090447 09329461

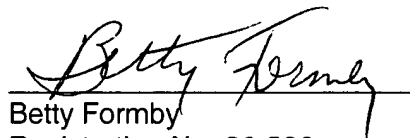
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This Supplemental Information Disclosure Statement is being filed after the mailing date of a final action or a notice of allowance, on or before the payment of the issue fee.

Each item of information cited in this Supplemental Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application.

Please charge the required fee of \$180.00 as set forth in § 1.17(p) to Deposit Account No. 09-0447.

Respectfully submitted,

A handwritten signature in cursive script, reading "Betty Formby", is written over a horizontal line.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT****Complete if Known**

Application Number	09/329,461
Filing Date	Jun. 10, 1999
First Named Inventor	Rodriguez et al.
Examiner Name	Nguyen, Cuong H.
Art Unit	3661
Attorney Docket No.	AT9-99-085

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	JP08-202774	08-09-1996	Kobayashi Takafumi	
AB	JP10-162066	06-19-1998	Ono Toshiyuki et al.	

**NON PATENT LITERATURE**

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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